

#2816/4

Docket No.
564131/0016**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Shinichi Sugihara

Group Art Unit: 1754

Application No.: 09/763,394

Examiner: E.M. Johnson

Filed: July 2, 2001

For: **VISIBLE-RADIATION ACTIVATING TYPE PHOTOCATALYST AND
METHOD FOR PRODUCING THE SAME**

Date: January 9, 2004

**CERTIFICATE OF TRANSMISSION
BY FACSIMILE (37 C.F.R. § 1.8)**Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Supplemental Information Disclosure Statement (3 pgs); Information Disclosure Statement
by Applicant (PTO/SB/08B); two reference documents**is being transmitted by facsimile to the United States Patent and Trademark Office in
accordance with 37 C.F.R. § 1.8 on the following date: **January 9, 2004****James F. Dobrow**

(Typed Or Printed Name Of Person Signing this Certificate)

(Signature)

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MWS/JFD

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08B).

The cited reference was called to Applicant's attention in a European Examination Report dated October 10, 2003, in European Patent Appln. No. 99 937 067.9 - 2104, which is a counterpart to the instant case. A copy of the Report and cited reference is enclosed for reference by the Examiner.

It is respectfully requested that the Examiner consider the above information and that a copy of the enclosed Form PTO/SB/08B be returned indicating that such information has been considered. Applicant respectfully requests that the Examiner make these citations of record in the subject application.

To the best of applicant's knowledge, this Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(b)(4), before the mailing of a first Office action

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after the filing of a request for continued examination under § 1.114. Accordingly, Applicant believes that no additional fee is due in connection with this Information Disclosure Statement.

If, however, the Commissioner determines otherwise, the Commissioner is authorized to charge any such fee, as well as any other fee which may now or hereafter be due, to Deposit Account No. 19-4709.

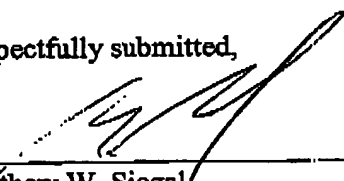
Furthermore, in the event that a notice of allowance or other first Office Action has been mailed in the present case, applicant has included below a Statement under 37 C.F.R. § 1.97(e)(1).

STATEMENT UNDER 37 C.F.R. § 1.97(E)(1)

It is hereby certified by the undersigned attorney that each item of information in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this information disclosure statement.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,



Matthew W. Siegal
Registration No. 32,941
Attorney for Applicants
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PTO/SB/08b(05-03)

Approved for use through 05/31/2003. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/763,394
Filing Date	July 2, 2001
First Named Inventor	Shinichi Sugihara
Art Unit	1754
Examiner Name	Edward M. Johnson
Attorney Docket Number	564131/0016

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		European Examination Report for Application No. 99 937 067.9-2104 dated October 10, 2003	
		H. Idriss, and M.A. Barteau, "Characterization of TiO ₂ surfaces active for novel organic syntheses" Catalytic Letters 26 (1994) 123	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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